

Appl. No : 10/828,269 Confirmation No.: 5446
Applicants : Jim Degraaf et al.
Filed : April 21, 2004
Title : RISK MANAGEMENT SYSTEM AND METHOD
PROVIDING RULE-BASED EVOLUTION OF A
PORTFOLIO OF INSTRUMENTS
TC./A.U. : 3694
Examiner : Shahid R. Merchant

Docket No. : 11483-174
Customer No. : 001059

Honorable Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

November 6, 2007

AMENDMENT

Sir:

In response to the office action of August 9, 2007, please amend the above-identified application as follows:

Listing of the Claims begin on page 2 of this Amendment.

Remarks/Arguments begin on page 11 of this paper.